# **Appendix**

## **Appendix 1: Survey Questionnaire**

### Questionnaire:

Urbanisation,			and Quality of		racy in K	enya
Γ	l ool r	revised: 3 N	lovember 2019			
Respondent Number	1		Fieldworker No.			1
GPS COORDINATES						
DOLLIEN FOLL						
PSU/EA: [Circle one]	T ,		Household back-ch	ecked?	T .	or Use Only]
Urban	1		Yes		1	_
Rural	2		No		2	
[Interviewer: Select appropriate	e code for County.	Write names	for Constituency and	d Town/Vii	llage]	
County			Constituency			
Sub-County			Ward			
Location			Town / Village			
SVC. Are the following service	es present in the e	numeration are	ea?	Yes	No	Can't deter
A. Electricity grid that most ho	uses can access			1	0	9
B. Piped water system that mo	ost houses can ac	cess		1	0	9
C. Sewage system that most h	nouses can access	S		1	0	9
D. Mobile phone service				1	0	9
FAC. Are the following facilitie tance?	s present in the e	numeration are	a or in easy walking	dis- Yes	No	Can't de- termine
A. Post office				1	0	9
B. School (private or public or	both)			1	0	9
C. Police station				1	0	9
D. Health clinic (private or pub	lic or both)			1	0	9
E. Market stalls (selling grocer	ries and / or clothin	ng)		1	0	9
F. Bank				1	0	9
G. Is there any kind of paid tran ble on a daily basis?	nsport, such as a	bus, taxi, mope	ed, or other form, ava	nila- 1	0	9

#### Household Selection Procedure

Interviewer: It is your job to select a random (this means any) household. A household is a group of people who presently eat together from the same pot.

Start your walk pattern from the start point that has been randomly chosen by your Field Supervisor. Team members must walk in opposite directions to each other. If A walks towards the sun, B must walk away from the sun; C and D must walk at right angles to A and B.

Use a 5 / 5 / 5 interval pattern to select a household. That is, walking in your designated direction away from the start point, select the 5<sup>th</sup> household for the first interview, counting houses on both the right and the left (and starting with those on the right if they are opposite each other). Once you leave your first interview, continue on in the same direction, again selecting the 5<sup>th</sup> household, again counting houses on both the right and the left to conduct the second interview. If the settlement comes to an end and there are no more houses, turn at right angles to the right and keep walking, continuing to count until finding the fifth dwelling. Follow the same procedure to conduct the third interview.

Interviewer: If a call is unsuccessful, use the table below to record your progress until you make a successful call. Circle a code number for unsuccessful calls only.

NOCALL	NOCALL_1	NOCALL_2	NOCALL_3	NOCALL_4	NOCALL_5	NOCALL_6	NOCALL_7
Reasons for Unsuccessful Calls	Household						
	1	2	3	4	5	6	7
Refused to be interviewed	1	1	1	1	1	1	1
Household/Premises empty at time of visit	2	2	2	2	2	2	2
Not a citizen/Spoke only a foreign lan- guage	3	3	3	3	3	3	3
Deaf/Did not speak a survey language	4	4	4	4	4	4	4
Did not fit gender quota	5	5	5	5	5	5	5
No adults in household	6	6	6	6	6	6	6
Other (spec- ify)	7	7	7	7	7	7	7
Not Applicable	9997	9997	9997	9997	9997	9997	9997

If no one is at home (i.e., premises empty), substitute with the very next household. If the interview is refused, use an interval of 5 to select a substitute household, counting houses on both the right and the left.

When you find a household with someone home, please introduce yourself using the following script. You must learn this introduction so that you can say it exactly as it is written below.

Good day. My name is	I am from the Institute for Development Stu	udies, University of Nairobi an independ-
ent research organization. <u>I do not re</u>	present the government or any political party.	We are studying the views of citizens in
3 counties of Nairobi, Migori and Tha	raka Nithi to understand how they make decisi	ons while seeking services from the gov-
ernment. We would like to discuss the	ese issues with a member of your household.	All respondents are chosen randomly.
All information will be kept confidentia	al. Your household has been chosen by chance	e. We would like to choose an adult from
your household. Would you help us p	ick one?	

Note: The person must give his or her informed consent by answering positively. If participation is refused, walk away from the household and record this in the above table on "Reasons for Unsuccessful Calls." Substitute the household using an interval of 5 households. If consent is secured, proceed to Respondent Selection.

Respondent Selection Procedure

Interviewer: Within the household, it is your job to select a random (this means any) individual. This individual becomes the interview Respondent. In addition, you are responsible for alternating interviews between men and women.

Note that "First Interview" should ONLY be used for your very first interview on the first day of fieldwork, NOT your first interview every day.

	First Interview	Male	Female
PREVINT. Previous interview was with a:	0	1	2
THISINT. This interview must be with a:		1	2

Please tell me the names of all males / females [select correct gender] who presently live in this household. I only want the names of males / females [select correct gender] who are citizens of Kenya and who are 18 years and older.

If this interview must be with a female, list only women's names. If this interview is with a male, list only men's names. List all eligible household members of this gender who are 18 years or older, and who are presently at home. Include only citizens of Kenya.

Women's Names	Men's Names
1	1
2	2
3	3
4	4
5	5
6	6
7	7
8	8
9	9
10	10

Please record the total number of adults (men and women) who live in the household who are citizens of Kenya. Enter a two-digit number.

ADULT_CT. Total number of adult citizens who live in the household		
--	--	--

The person I need to speak to is [insert name] \_\_\_\_\_\_. May I please interview this person now?

If yes:	May I please interview this person now?
If no:	Will this person return here at any time today?
If no:	Thank you very much. I will select another household. Substitute with the next household to the right and repeat the respondent selection procedure. (NOTE: YOU CAN ONLY SUBSTITUTE HOUSEHOLDS, NOT INDIVIDUALS.)
If yes:	Please tell this person that I will return for an interview at [insert convenient time]. If this respondent is not present when you call back, replace this household with the next household to the right.

If the selected respondent is not the same person that you first met, repeat introduction:

Good day. My name is \_ \_. I am from the Institute for Development Studies, University of Nairobi an independent research organization. I do not represent the government or any political party. We are studying the views of citizens in 3 counties of Nairobi, Migori and Tharaka Nithi to understand how they make decisions while seeking services from the government. We would like to discuss these issues with you. You have been chosen by chance and all information will be kept confidential. To selected respondent: Your answers will be confidential. They will be put together with 2000 other people we are talking to, to get an overall picture. It will be impossible to pick you out from what you say, so please feel free to tell us what you think. This interview will take about 40 minutes. There is no penalty for refusing to participate. Do you wish to proceed? [Proceed with interview only if answer is positive]. [If respondent agrees to proceed, add:] We can do this interview in English, Kiswahili or Luo/Imenti. Please, tell me which language you would like to use. [If interviewer does not speak selected language, then liaise/make an appointment with a member of the team who speaks that language.] Note: The person must give his or her informed consent by answering positively. If participation is refused, walk away from the household and record this in the above table on "Reasons for Unsuccessful Calls." Substitute the household using an interval of 5 households. If consent is secured, proceed with the interview after recording current date and time. **DATEINTR** Day Month Year Date of interview [Interviewer: Enter day, month, and year]

Appendix

**STRTIME** 

Time interview started [Interviewer: Enter hour and minute, use 24 hr. clock]

4

Hour

Minute

Interviewer: If a respondent firmly refuses to answer any question, write "refused" in the answer space and continue to the next question

## START INTERVIEW

How old are you?	
[Interviewer: Enter three-digit number. Don't Know = 999. If respondent is aged less than 18, stop	
interview and use tablet to randomly select another respondent in the same household]	
What is your marital status?	
Married Monogamous	1
Married Polygamous	2
Never Married	3
Separated / Divorced	4
Widowed	5
Other (Specify)	9
What is your highest level of education? [Code from answer. Do not read options]	
No formal schooling	0
Informal schooling only (including Koranic schooling)	1
Some primary schooling	2
Primary school completed	3
Intermediate school or Some secondary school / high school	4
Secondary school / high school completed	5
Post-secondary qualifications, other than university e.g. a diploma or degree from a polytechnic or col	l- c
lege	0
Some university	7
University completed	8
Post-graduate	9
Apprenticeship e.g. on the job training	10
Don't know [Do not read]	99
What is your religion, if any? [Interviewer: Code from answer. Do not read options.]	
None	0
Christian only (i.e. respondent says only "Christian" without identifying a specific sub-group)	1
Muslim only (i.e. respondent says only "Muslim" without identifying a specific sub-group)	2
Traditional / Ethnic religion	3
Hindu	4
Bahai	5
Agnostic (Do not know if there is a God)	6
Atheist (Do not believe in a God)	7
Jewish	<u> </u>
Other [Specify]: Post Code	;
Refused	98
Don't know	99

What would you say is your estimated average monthly income? [Read out each options]	
0 – 3,000	1
3001 –6,500	2
6,501 –13,000	3
13,001 –20,000	4
20,001—40,000	5
40,001 – 60,000	6
60,001 – 80,000	7
80001 – 100,000	8
100,001 – 120,000	9
120,001 – 150,000	10
Above 150,000	11
Don't know	99
Not willing	88

In general, how would you describe your own present living con	dition?: [Read out options]
Very Bad	1
Fairly Bad	2
Neither good nor bad	3
Fairly Good	4
Very good	5
Don't know [Do not read]	9

Where were you born?	
Here in this county where I live now	1
In other county (Specify)	2

[If respondent was not born where curently living; ask). How many years ago did you move here?		
Between 1 to 2 years ago	2	
Between 2 to 5 years ago	3	
Between 5 to 10 years ago	4	
Between 10 to 20 years ago	5	
More than 20 years ago	6	
Family moved here before I was born	7	
Don't know/Do not remember [Do Not Read]	9	

What role would you say your family played in your decision to move here?		
No role of my family in my decision to move here		
Not an important role	1	
Somewhat an important role	2	
Very important role	3	
Don't know/Do not remember [Do Not Read]	9	

What would you say were the most important reasons why you a responses.]	moved here	? [Interviewer:	Do not read opti	ons. Code up to	
		1st response	2nd response	3rd response	
Economic					
Find work/ better job/ better work opportunities		1	1	1	
Economic hardship		2	2	2	
Lower taxes at destination		3	3	3	
Poverty/ destitution		4	4	4	
Poor infrastructure/ services		5	5	5	
Better business prospects		6	6	6	
Natural Disasters, including drought, disease outbreaks, flooding	g etc	7	7	7	
Political					
Better democratic environment/ personal freedom/ human rights ties	s/ civil liber-	8	8	8	
Political persecution		9	9	9	
Religious persecution		10	10	10	
Civil war / threat of civil war/ violent conflicts		11	11	11	
Crime, or personal/ family insecurity		12	12	12	
Social Factors					
Better schools for children		13	13	13	
Better medical services for family		14	14	14	
To pursue an education		15	15	15	
To accompany family members who are moving for work		16	16	16	
To join spouse/ family members who have already migrated		17	17	17	
Better services		18	18	18	
Other (1st response) Specify	Post Code				
Other (2 <sup>nd</sup> response) Specify	Post Code				
Other (3 <sup>rd</sup> response) Specify	Post Code				
No further reply	1		9996	9996	
Not Applicable [If response to did not move]		9997			
Don't know /		9999			

10.1 Now that you have moved into an urban area, would you say your expectations toward public sell changed?	rvice (	delivery has
I expect more public services than when I was in a rural area		1
I expect service provision to be just about the same		2
I expect less public services provision 9in the urban area		3
10.2 Suppose you were to move into an urban area, would your expectation regarding public service of	delive	ry change
I would expect more public services than when I was in a rural area		1
I would expect the same		2
I would expect fewer public services		3
Do you have plans to move away from here within the next 5 years?		
No		1
Yes, I plan to move to an urban area		2
Yes, I plan to move to a rural area		3
I can't say for now/I am not quite sure [Do Not Read]		4
Don't know/ [Do Not Read]		9
In your opinion, how do you compare provision of public services within urban and rural areas? [Read	out o	ptions]
Provision of services in Urban areas is better than rural areas		1
Provision of services in rural areas is better than urban areas		2
For me, provision of services in urban and rural areas is just about the same		3
Don't know/ [Do Not Read]		9
Looking at various places you have lived in, which one do you consider home? [Read out options]		
My rural home	1	
My urban home	2	
l consider both of them as home [Do not read]	3	
l consider none of them as home [Do not read]	4	
Don't know [Do not read]	9	
Considering other places, you've lived in, why do you specially consider this home? [Read out options]		
My birth and/or childhood connections	0	
Networks with family/clan/co-ethnics	1	
Networks with other residents	2	
Economic/Investment interests	3	
Political aspirations/interests	4	
Good living conditions	5	
Don't know [Do not read]	9	
Since you moved here, do you feel more belonging to Nairobi county than your home county? [Read of	out op	tions]

I have not moved into Nairobi county	0
Yes, I feel more belonging to Nairobi county	1
No, I feel more belonging to my home county	2
I feel just about the same belonging to Nairobi and my home county [Do Not Read]	3
I don't feel belonging to any of the counties	4
Don't know/ [Do Not Read]	9

How often do you visit your rural home? [Read out options]	
l have no rural home	0
I stay here at my rural home	1
Frequently	2
Occasionally	3
Never	4
Don't know [Do not read]	9

How about just getting in touch with family and friends in the rural area? Would you say you do this: [Read out options]			
I have no contact with my rural area			
Daily	1		
Once a week	2		
Once a month	3		
Don't know [Do not read]	9		

How much do you support your family relations back in the rural area? [Read	l out options]
None / Have no contact with my rural area	p
I send monetary resources on a regular basis	1
l only send monetary resources occasionally	2
I facilitate their access to certain essential services	3
Not applicable [Do not read]	7
Don't know [Do not read]	9

Thinking about yourself, would you say you belong to an urban or	rural area?
Urban area	1
Rural area	2
I feel both urban and rural	3
Not sure/can't determine [Do not read]	90
Refused to answer [Do not read]	98
Don't know [Do not read]	99

How often, if ever, are	[select urban or rural] areas neglected by the national govern	ment? [Read out options]
Never		0
Sometimes		1

Often			2	<u>-</u>			
Always				3			
Not applicable [Do not read]			7	<u>'                                    </u>			
Don't know [Do not read]			<u> </u>	)			
Let us suppose that you had to chest expresses your feelings?	noose between t	pelonging to a rural area or urban	area. Which of	the fo	llowing	state	ments
I feel only Urban				5			
I feel more Urban than Rural				4			
I feel equally Urban and Rural				3			
I feel more Rural than Urban				2			
I feel only Rural				1			
Not applicable [Do not read]				7			
Don't know [Do not read]				9			
How about your community, cultu	ral group or trib	e: Are you? Do NOT read options	. Code from res	ponse	<i>;</i> ]		
Kikuyu	1	Taita		10			
Luo	2	Somali		11			
Luhya	3	Pokot		12			
Kamba	4	Turkana		13			
Kalenjin	5						
Kisii	6	Kenyan only, or "doesn't th those terms"	ink of self in	90			
Meru/Embu	7	Refused to answer		98			
Masai/Samburu	8	Don't know		99			
MijiKenda	9	Other [Specify]:	Post _ code				
How often, if ever, are ent did not identify any group on ( (90) – then circle 7 = Not Applical Never	Q17– that is, IF	they REFUSED to answer (98), D	IDN'T KNOW (9	99), or			
Sometimes			1	<u>'</u>			
Often							
Always				}			
Not applicable [Do not read]			7	7			
Don't know [Do not read]			9	)			
Let us suppose that you had to che the following statements best exp							
REFUSED to answer (98), DIDN' Q19 and continue to question Q2	T KNOW (99), o		circle 7 = Not Ap	plicabi			
l feel only Kenyan				5			
I feel more Kenvan than	lineart R'e at	hnic arounl		4			

feel equally Kenyan and[insert R's ethnic group]	3
feel more[insert R's ethnic group] than Kenyan	2
feel only [insert R's ethnic group]	1
Not applicable [Do not read]	7
Don't know [Do not read]	9

For each of the following types of people, please tell me whether you would like having people from this group as neighbours, dislike it, or not care: [Interviewer: Probe for strength of opinion] Would not Somewhat Strongly Don't know Strongly Somewhat care dislike dislike [DNR] like like 5 2 3 9 People of a different religion? 5 3 9 B. People from other ethnic groups? C. Lesbian, gay, bisexual, transgender, queer 9 and intersex or LGBTQI? D. Immigrants or foreign workers? 3 5 9 People who support a different political 3 5 9 party?

Но	How much do you trust each of the following groups of people? [Read out options]						
	Not at all Just a little Somewhat A lot Don't know [DNR]						
A.	Your relatives	0	1	2	3	9	
В.	People from your own ethnic group	0	1	2	3	9	
C.	Kenyans from other ethnic groups	0	1	2	3	9	

Generally speaking, would you say that most people can be trusted or that you must be very careful in dealing with people?			
Most people can be trusted	1		
Must be very careful	0		
Don't know [Do not read]	9		

Now I am going to read out a list of groups that people join or attend. For each one, could you tell me whether you are an active member, an inactive member, or not a member.

		Active Member	Inactive Mem- ber	Not a Member	Don't Know [DNR]
Α.	A religious group that meets outside of regular worship services	2	1	0	9
В	Neighbourhood group for residents	2	1	0	9
С	Voluntary grouping of co-ethnics	2	1	0	9
D	Investment club or Chama	2	1	0	9
E	Political grouping	2	1	0	9

F	Trade Union	2	1	0	9
G	Social media group like Whatsapp, Facebooketc.	2	1	0	9

What would you say are three main issues for wh	nich your groups advocate?				
		1st response	2nd response	3rd response	
Roads		12	12	12	
Transportation		11	11	11	
Housing		10	10	10	
More political freedom/inclusion		9	9	9	
Better working environments		8	8	8	
Health improvements		7	7	7	
Education improvements		6	6	6	
Safety and security		5	5	5	
Water and sewerage		4	4	4	
Construction guidelines		3	3	3	
Infrastructure improvements		2	2	2	
Security of tenure		1	1	1	
Some other issue (1st response)	Post Code				
Some other issue (2 <sup>nd</sup> response)	Post Code				
Some other issue (3 <sup>rd</sup> response)	Post Code				
No further response			96	96	
Not applicable [Do not read]		97			
Don't know [Do not read]		99			

Loc	Looking at the groups that you belong to, how frequently would you say you meet physically or online?						
		Just once or twice		Many times	ΔΙννανο		Don't Know [DNR]
Α.	A religious group that meets outside of regular worship services	4	3	2	1	0	9
В	Neighbourhood group for residents	4	3	2	1	0	9
С	Voluntary grouping of co-ethnics	4	3	2	1	0	9
D	Investment club or Chama	4	3	2	1	0	9
Ε	Political grouping	4	3	2	1	0	9
F	Trade Union	4	3	2	1	0	9
G	Social media group like Whatsapp, Facebook etc.	4	3	2	1	0	9

Looking at the groups that you belong to, what exactly would you say is the reason for you to join the group?								
					J	Protection of rights	Not Appli- cable	Other (Specify
A religious group that meets A. outside of regular worship services	6	5	4	3	2	1	7	
B Neighbourhood group for residents	6	5	4	3	2	1	7	
C Voluntary grouping of co-eth- nics	6	5	4	3	2	1	7	
D Investment club or Chama	6	5	4	3	2	1	7	
E Political grouping	6	5	4	3	2	1	7	
F Trade Union	6	5	4	3	2	1	7	
G Social media group like Whatsapp, Facebook, etc.	6	5	4	3	2	1	7	

Lo	Looking at the groups that you belong to, how much have you benefited from being a member of the group?					
		Not at all	Just a lit- tle	Somewhat	A lot	Not Appli- cable
Α.	A religious group that meets outside of regular worship services	0	1	2	3	7
В	Neighbourhood group for residents	0	1	2	3	7
С	Voluntary grouping of co-ethnics	0	1	2	3	7
D	Investment club or Chama	0	1	2	3	7
Ε	Political grouping	0	1	2	3	7
F	Trade Union	0	1	2	3	7
G	Social media group like Whatsapp, Facebook etc.	0	1	2	3	7

	oking at the groups that you belong to, how much have they been such as NGOs, media, churches?	pported in th	neir activiti	es by Non S	State Acto	ors (NSAs)
		Not at all	Just a lit- tle	Somewhat	A lot	Not Appli- cable
Α.	A religious group that meets outside of regular worship services	0	1	2	3	7
В	Neighbourhood group for residents	0	1	2	3	7
С	Voluntary grouping of co-ethnics	0	1	2	3	7
D	Investment club or Chama	0	1	2	3	7
E	Political grouping	0	1	2	3	7
F	Trade Union	0	1	2	3	7
G	Social media group like Whatsapp, Facebook etc.	0	1	2	3	7

Among the groups you belong to which receive suppport from NSAs, which area NSAs?	as of service provision are supported by
Governance function	1
Social function	2
Financial function	3
Political fucntion	4
Neighbhood improvement	5
Protection of rights	6
Not applicable	7
Other specify	8

I will read you some statements about your role in the polit	ical system	. Please	tell me if you	Strong	gly ag	ree, Agree	, Disa-	
gree or Strongly disagree with each statement.								
	Strongly agree	Agree	Neither agree nor disagree	Disa- gree		Strongly disa- gree	Don't know	
Generally, politics seems so complicated that people like me cannot understand what is happening.	1	2	3	4		5	99	
Politicians don't care much about what people like me think.	1	2	3	4		5	99	
To achieve what I want I have to organize with others with similar interests	1	2	3	4		5	99	
I trust people with authority (leaders) to make the right decisions	1	2	3	4		5	99	
I do not trust people with authority to represent my interests	1	2	3	4		5	99	
In my area, politicians assist citizens to access services	1	2	3	4		5	99	
Affiliating to a ruling party improves citizens access to services	1	2	3	4		5	99	
To protect my rights, I have to organize with others	1	2	3	4		5	99	
In your opinion, why do you expect the government to delive	er services t	o you? Is	it because:					
You're a Kenyan citizen					1			
Your co-ethnic is in power					2			
You deserve to be served as an individual					3			
Your political association is in power					4			
You pay tax					5			
You make an economic contribution to the area you liv	e in				6			
So far, only the rich benefit from government					7			
lt's your constitutional right					8			
You live in an urban area					9			
I voted for the governemnt					10			

36.1 Please tell me if you had a choice, would you want representatives of the government:	
To support you individually to access a public service	1

To ensure public service delivery to everyone	2
Ensure public service delivery to those who voted for the government	3
Prioritize my residential area	4
Prioritize my community	5

Here is a list of actions that people sometimes take as citizens when they are dissatisfied with government perfomance. For each of these, please tell me whether you, personally, have done any of these things in the last three years. [If Yes, read out options 2-4]. If not, would you do this if you had the chance? [For No, read out options 0 and 1]

•		-	-	•	-		
		YES			NO		Don't
		Often	Several times	Once or twice		Would never do this	know [DNR]
A	Joined others in your community to request action from government	4	3	2	1	0	9
В	Contacted the media, like calling a radio program or writing a letter to a newspaper	4	3	2	1	0	9
С	Contacted an NGO for advise or to pass information	4	3	2	1	0	9
D	Contacted a government official to ask for help or make a complaint	4	3	2	1	0	9
Е	Refused to pay a tax or fee to government	4	3	2	1	0	9
F	Participated in a demonstration or protest march	4	3	2	1	0	9
G	Filed a petition in court	4	3	2	1	0	9
Н	Did not vote for the government	4	3	2	1	0	9

37.1 (Interviewer: if respondent answers 'Yes' in Q37	', ask:) Have you been harras	sed, threatened	l or arrested fo
taking action as a citizen? [If Yes, read:]. Has this be	een often, several times or on	ce or twice?	
	Often	Several times	Once or twice
Yes	3	2	1
No	0	1	-
	,		
37.2 If yes to Q37.1, how did you respond to the situation?			
Nothing, I did not do anything		0	
Mobilized with fellow citizens to protest and demand redr	ess	1	
Filed a complaint with authorities		2	
Contacted community leader		3	
Contacted elected leader,		4	
Contacted religous leader		5	
Contated family member		6	

Other Speify				8	
During the past year, how often have you contacted an them your views? [Read out options]	y of the foll	lowing persons	about some imp	portant pro	blem or to give
	Never	Only once	A few times	Often	Don't know [DNR]
A Your Governor	0	1	2	3	9
B Your Regional Coordinator	0	1	2	3	9
B.1 County Commisioner	0	1	2	3	9
C Your Member of Parliament	0	1	2	3	9
D Your Senator	0	1	2	3	9
E Your Women Representative	0	1	2	3	9
F Your Member of County Assembly	0	1	2	3	9
G Your Ward Administrator	0	1	2	3	9
H Your local Chief	0	1	2	3	9
l Your Village Elder	0	1	2	3	9
J An official of government agency	0	1	2	3	9
K A political party official	0	1	2	3	9
L Non-Governemnetal Organization (NGO)	0	1	2	3	9
M Trade Union	0	1	2	3	9
N A voluntary organisation or grouping that you belong to	0	1	2	3	9
O Religious leader	0	1	2	3	9
P Other people or individuals also affected by the problem	0	1	2	3	9
When dissatisfied with the provision of a public service,	, who amor	ng the following	would you cont	act first?	[Read out options]
Social media group that I belong to				15	<u> </u>
Your County Commisioner				14	
Your Governor				13	
Your Regional Coordinator				12	
Your Member of Parliament				11	
Your Senator	10				
Your Women Representative				9	
Your Member of County Assembly				8	
Your Ward Administrator	7	_			
An official of government agency	6				
Non-Governemnetal Organization (NGO)	5				
Your Chief/Sub-Chief	4				
Your Village Elder				3	
A voluntary organisation or grouping that you belong to	)			2	
Other people or individuals also affected by the problem	n			1	
None [DNR]	0	_			

How	willing would you be to demonstrate and protest	:: [Read out option	ns]			
		Not at all	Just a little	Somewhat	A lot	Don't know/ Haven't heard [DNR]
Α	For higher wages	0	1	2	3	9
В	For better working conditions	0	1	2	3	9
С	For improvement in health care	0	1	2	3	9
D	For improvement in education	0	1	2	3	9
E	To defend democratic rights	0	1	2	3	9
F	For improvement in water and sanitation	0	1	2	3	9
G	For improvement in public transport	0	1	2	3	9
Н	For improvement in Security	0	1	2	3	9
I	Against massive unemployment	0	1	2	3	9
J	Against increases in food prices,	0	1	2	3	9
K	Against increases in transportation costs	0	1	2	3	9
L	Against threat to security of tenure	0	1	2	3	9
M	Against runaway corruption	0	1	2	3	9
N	For improvement in housing	0	1	2	3	9
0	Against tax increase	0	1	2	3	9
Р	Agaisnt threat of eviction	0	1	2	3	9

Have you ever been arrested or threatened by public authorities? [If Yes, read:]. Has this been often, several					
times or once or twice?					
	Often	Several times	Once or twice		
Yes	3	2	1		
Ne 0					

If yes to Q41, how did you respond to the situation?	
Nothing, I did not do anything	0
Mobilized with fellow citizens to protest and demand redress	1
Filed a complaint with authorities	2
Contacted community leader	3
Contacted elected leader,	4
Contacted religous leader	5
Contated family member	6
Other Speify	

Which of the following activities do you regard as the <u>most</u> important for your elected leader to perform? [Do not read out options. Accept upto three responses. If respondent offers more than three options, ask "Which three of these are the most important?" If respondent offers one or two answers, ask "Anything else?"]

most important: In respondent oners one of two unswers, ask Anything class:								
		1st re	esponse	2 <sup>nd</sup>	response	9 3	rd respo	onse
Explain government policies to constituents		1		1	1		1	
Tell government what people in the constituencies want		2		2	2		2	
Obtain projects and benefits for the constituency		3		3		3		
Visit constituency frequently		4		4		4		
Take active part in the debates of the National Assembly a bills	nd pass	5		5		5		
Help constituents with their personal problems		6		6		6	i	
Help solve conflicts in the community.		7		7		7	,	
Bring services to me		8		8		8	1	
Brings services to my neighborhood		9		9		9	)	
Protect interests of my neighborhood		10		10		1	0	
None/ No activity		0						
Other (1st response) [Spec-	Post Code							
[fy]					<u> </u>			
Other (2 <sup>nd</sup> response) [Spec- ify]	Post Code							
Other (3 <sup>rd</sup> response) [Spec-ify]	Post Code							
No further reply	•			96		9	16	•
Don't know [Do not read]		99						

Which of the following statements is closest to your view? Choose Statement 1 or Statement 2. [Interviewer: Probe for strength of opinion: Do you agree or agree very strongly?]					
Statement 1: The government should bear the main responsibility for the well-being of the people Statement 2: People should look after themselves and be responsible for their own success in life					
Agree very strongly with Statement 1			Agree very strongly with Statement 2 4		
Agree with neither [Do not read] 5					
Don't know [Do not read]			9		

	Overall, do you approve or disapprove of the way that the following governments have performed over the past 12 months, or haven't you heard enough about them to say? [Interviewer: Probe for strength of opinion]						
		Strongly dis- approve	Disapprove	Approve	Strongly ap- prove	Don't know/ Ha- ven't heard enough <i>[DNR]</i>	
A	County governments	1	2	3	4	9	
В	National government	1	2	3	4	9	

In your opinion, what do you think is the main responsibility of the government in service provision?			
Provide services to people from my residential area 1			
Provide services to people from my ethnic group	2		
Provide services to all citizens	3		
Provide services to me individually	4		
Refused [DNR]	8		
Don't Know [DNR]	9		

Speaking about government services generally, what would be your rating of service provision by government in the following areas? Excellent Good Poor Don't Average Very poor Know Urban area 1 2 3 4 5 9 1 2 3 4 5 9

Rural area

Looking at the provision of services such as health, education, water & sanitation, security and transportation by government in rural and urban areas. Would you say:			
Provision of government services in urban areas is better than rural areas	1		
Provision of government services in rural areas is better than urban areas	2		
Provision of government services in rural areas and urban areas is just about the same	3		
Refused [DNR]	8		
Don't Know [DNR]	9		

Overall, do you think government services have improved? [Read out options]	
No, government services have not improved	0
Yes, because of good quallity leadership by elected leaders	1
Yes because of pressure from civil society organisations	2
Yes because of the support by the governemnt	3
Yes because of the pressure by active citizens demanding services	4
Refused [DNR]	8
Don't Know [DNR]	9

_	ments is closest to your view? Congth of opinion: Do you agree or		ent 2.
Statement 1: Change of gov changed access to governn	vernment at the county means nent services by citizens		vernment at the county does not ess to government services by citi-
Agree very strongly with	Agree with Statement 1	Agree with Statement 2	Agree very strongly with
Statement 1			Statement 2
1	2	3	4
Agree with neither [Do not r	ead]	•	5

Don't know [Do not read]			9
Statement 1: A change of go determines who benefits fro	overnment at the national level m government services ?	· ·	government at the national level is benefits from government ser-
Agree very strongly with	Agree with Statement 1	Agree with Statement 2	Agree very strongly with
Statement 1			Statement 2
1	2	3	4
Agree with neither [Do not re	ead]	•	5
Don't know [Do not read]			9

	Whom would you say is your main provider for the following government services?								
		None [DNR]	Pu vis	blic pro- ion	Private provider		vision NGOs	Religious or- ganisations	Some other pro- vider
Α	Education services	0	1		2	3		4	()
В	Healthcare and medical services	0	1		2	3		4	()
С	Water and sanitation services	0	1		2	3		4	()
D	Power and electricity supply	0	1		2	3		4	()
Ε	Housing	0	1		2	3		4	()
F	Safety and security	0	1		2	3		4	()
G	Roads	0	1		2	3		4	()
Н	Transportation	0	1		2	3		4	()
Ι	Agricultural extension services	0	1		2	3		4	()
J	Social security	0	1		2	3		4	()
	your opinion, would you say that it is a following services?	he Nationa	al Go	vernmen	t or the Cou	nty C	Governm	ent that is best	suited to provide
				Nationa	I Governme	ent	County	Government	Don't Know
Α	Education Services			1			2		3
В	Healthcare and medical services			1			2		3
С	Water and Sanitation services			1		2		3	
	Police assistance services								
D	Police assistance services			1			2		3
D E	Police assistance services  Power and electricity supply			1			2		3
				•					-
E	Power and electricity supply			1			2		3

How about you, as an ordinary citizen, which of these services do you think you should take care of?			?
	Yes	No	Don't Know/Not sure

Roads

Transportation

Guarantee security of tenure

Α	Education Services	1	2	3
В	Healthcare and medical services	1	2	3
С	Water and Sanitation services	1	2	3
D	Power and electricity supply	1	2	3
Е	Housing	1	2	3
F	Safety and security	1	2	3
G	Roads	1	2	3
Н	Public transportation	1	2	3

Which of the following factors would you say are mostly responsible for g	ood provision	of service	es where	you live?	
		55A: 1st r	esponse	55B: 2 <sup>nd</sup>	response
Efficient public service		1		1	
Presence of private sector		2		2	
Presence of NGOs		3		3	
Involvement of elected leaders		4		4	
Public-Private Partnerships in service delivery		5		5	
Enlightened citizenry		6		6	
Active & responsive residents who organise with others		7		7	
Protests by citizens and civil society that piles pressure on governent		8		8	
Neighbourhood associations		9		9	
Some other factor (1st response) Specify	Post Code				
Some other factor (2nd response) Specify	Post Code				
No further Answer				96	
Do not know [Do not read]		99			

Looking at your case and that of your family. Do you think you get a fair amount of public services considering the money you contribute to the state in terms of taxes, fees and other payments? [Read out options] [Interviewer: Probe for strength of opinion.]- The idea is to capture whether respondents consider that a particular group is benefiting more.				
Yes, I get a fair amount of public services 1				
Yes, I get some services but it's not enough 2				
No, so far, only the rich benefit from government 3				
No, only a few people 4				
Don't know/ Haven't heard [DNR]	9			

<u> </u>			e spending on public health care, ppose it? [Interviewer: Probe for
Strongly oppose			1
Somewhat oppose			2
Neither support nor oppose	e [Do not read]		3
Somewhat support			4
Strongly support			5
It depends (e.g., on size of	the increase) [Do not read]		6
Don't know [Do not read]			9
-	ments is closest to your view? Congth of opinion: Do you agree of		ent 2.
Statement 1: Access to public services by citizens in this country depends on who is in power.		٠,	blic services by citizens in this ly depend on whoever is in power.
Agree very strongly with	Agree with Statement 1	Agree with Statement 2	Agree very strongly with
Statement 1			Statement 2
1	2	3	4
Agree with neither [Do not re	ead]		5
Don't know [Do not read]			9

	Thinking about ethnicity, political leadership and service provision. To what extent would you say ethnicity of the political leadership determines the distribution of public services in the:					
		Not at all	A little bit	Somewhat		Don't know [Do not read]
Α	County	0	1	2	3	9
В	Country	0	1	2	3	9

Looking at how public services are provided in this area; would you say there are some residents who are excluded from accessing certain services based on their ethnicity?	Yes	No	Don't know
	1	2	9

In your opinion, what should be the role of Non-State Actors (NSAs) with respect to provision of services [Read out options]		
No role	0	
Provide services	1	
Complement provision of services by government	2	
Provide services in partnership with the government	3	
Provide oversight on government service provision	4	
Exert pressure on governemnt to deliver services	5	
Don't know [Do not read]	9	

n your opinion, what is a public good?		
Goods and services provided by the government	3	
Goods and services which citizens do not pay for	2	
Goods and services available to all citizens	1	
Do not know [Do not read]	9	

Thinking about how important many people consider voting in national elections, which of the follow you? [Read out options]	ving statements is true for
You don't always vote in the general elections	0
You vote in the rural area where you stay	1
You vote in the urban area where you stay	2
You travel to rural area to vote eventhough you stay in urban area	3
You travel to urban area to vote eventhough you stay in rural area	4
I was too young to vote	5
Don't know / Can't remember [Do not read]	9

Looking at participation in politics in urban and rural areas, where do you think citizens have more political pate? [Read out options]	space to partici-
Rural area has more space for political participation than urban areas	1
Urban area have more space for political pariticpation than rural areas	2
Both rural and urban areas have similar spaces	3
Don't know / Can't remember [Do not read]	9

Thinking about voting during elections, which of the following factors mainly determines whice vote for? [Read out options]	ch candidate you are likely to
Candidate with ability to deliver services	1
Candidate who helps me meet my immediate needs	2
Candidate from my community	3
Candidate endorsed by my political party	4
Candidate NOT from my community	5
Doesn't matter from which community as long as he/she can deliver services	6
Candidate affiliated to political party I support	7

When choosing whom to vote for, what are the most important factors that influence your choice? [Do not read options. Code from responses. Accept up to two answers. If respondent offers more than two options, ask "Which two of these are the most important?" If respondent offers only one, ask "Anything else?"]

	66A. 1st response	66B. 2 <sup>nd</sup> response
Education level	1	1
Party affiliation	2	2
Ethnic affiliation	3	3

Understanding and articulation of local development issues	4		4		
Ability to provide services such as health, water and sanitation, education, security				5	
Other (1st response) [Specify]	Post Code				
Other (2 <sup>nd</sup> response) [Specify]	Post Code				
No further response				96	

Which of these three statements is closest to your own opinion?	
Statement 1: In a presidential election, I would most likely vote the candidate from my community	3
Statement 2: In a presidential election, I would most likely vote for a candidate who is NOT from my community	2
Statement 3: For someone like me, it doesn't matter what community a presidential candidate comes from.	1
Don't know [Do not read]	9

If your main reason for deciding which presidential candidate to vote for is access to public services, which of these candidates would you choose?				
Candidate with the most amount of resources	4			
Candidate from my community or in alliances with my community	3			
Candidate with best track record in providing government services 2				
Candidate with the most experience in administration	1			
Oon't know [Do not read]				

	ere are many ways to govern a country. Wou	ıld you disapp	rove or appro	ve of the followin	g alternati	ves? [Interv	iewer:
		Strongly Disapprove	Disapprove	Neither Approve Nor Disapprove [DNR]	Approve	Strongly Approve	Don't know [DNR]
A	Only one political party is allowed to stand for election and hold office.	1	2	3	4	5	9
В	The army comes in to govern the country.	1	2	3	4	5	9
2	Elections and Parliament are abolished so that the president can decide everything.	1	2	3	4	5	9

Which of these three statements is closest to your own opinion? [Read out statements. Only one optic Read the question in the language of the interview, but always read "democracy" in English. Translate cal language only if respondent does not understand English term.]	
STATEMENT 1: Democracy is preferable to any other kind of government.	3
STATEMENT 2: In some circumstances, a non-democratic government can be preferable.	2
STATEMENT 3: For someone like me, it doesn't matter what kind of government we have.	1
Don't know [Do not read]	9

People associate democracy with many diverse meanings. In order for a society to be called democratic, is each of the ones I will mention very important, important, not very important or not important at all?

0	ones I will mortion very important, important, not very important of not important at all:					
		Abso-	lm-	Not very	Not im-	Don't know
		lutely es-	portant	im-	portant at	[Do not
		sential		portant	all	read]
Α	Freedom to criticize the government	3	2	1	0	9
В	Jobs for everyone	3	2	1	0	9
С	Free and fair elections	3	2	1	0	9
D	The army takes over when government is incompetent.	3	2	1	0	9
Ε	A smaller income gap between rich and poor	3	2	1	0	9
F	Women have the same rights as men.	3	2	1	0	9
G	Civil rights protect people from state oppression.	3	2	1	0	9
Н	Free education for all	3	2	1	0	9
I	Better health care for all	3	2	1	0	9
J	Water and sanitation for all	3	2	1	0	9

In your opinion how much of a democracy is Kenya today? [Read out options. Accept only one option. Read the question in the language of the interview, but always read "democracy" in English. Translate "democracy" into local language only if respondent does not understand term in official language.]

A full democracy

A democracy, but with minor problems

A democracy, with major problems

2

Not a democracy

Do not understand question /do not understand what 'democracy' is [Do not read]

B

Don't know [Do not read]

9

Overall, how satisfied are you with the way democracy works in Kenya? Are you: [Read out options. Only one option to be chosen. Read the question in the language of the interview, but always read "democracy" in English. Translate "democracy" into local language only if respondent does not understand English term.]

Very satisfied

A late you satisfied

Not very satisfied 2

Not at all satisfied 1

Kenya is not a democracy [Do not read] 0

Do not know [Do not read] 9

Which of the following statements is closest to your view? Choose Statement 1 or Statement 2.	
[Interviewer: Probe for strength of opinion: Do you agree or agree very strongly?]	

Statement 1: We should choose our leaders in this country through regular, open and honest elections.

Statement 2: Since elections sometimes produce bad results, we should adopt other methods for choosing this country's leaders.

Agree Very Strongly With	Agree With Statement 1	Agree With Statement 2	Agree Very Strongly With
Statement 1			Statement 2
1	2	3	4
Agree With Neither [Do not rea	5		
Don't know [Do not read]	9		

Which of the following statements is closest to your view? Choose Statement 1 or Statement 2.								
[Interviewer: Probe for strength of opinion: Do you agree or agree very strongly?]								
1.								
Statement 1: It is more important to have a government that Statement 2: It is more important for citizens to be able to								
can get things done, even if we have no influence over what it hold government accountable, even if that means it makes								
does.		decisions more slowly.						
Agree Very Strongly With	Agree With Statement 2	Agree Very Strongly With						
Statement 1			Statement 2					
1	2	3	4					
Agree With Neither [Do not rea		5						
Don't know [Do not read]			9					
2.								
Statement 1: Since the Preside	ent was elected to lead the	Statement 2: The President m	ust always obey the laws and					
country, he should not be boun	d by laws or court decisions	the courts, even if he thinks the	ey are wrong.					
that he thinks are wrong.								
Agree Very Strongly With	Agree With Statement 1	Agree With Statement 2	Agree Very Strongly With					
Statement 1		Statement 2						
1	2	3	4					
Agree With Neither [Do not rea	5							
Don't know [Do not read]	9							

3.

, , ,		Statement 2: The President should be able to devote his fattention to developing the country rather than wasting timing justifying his actions.		
Agree Very Strongly With	Agree With Statement 1	"	Agree Very Strongly With	
Statement 1			Statement 2	
1	2	3	4	
Agree With Neither [Do not rea	ad]		5	
Don't know [Do not read]		9		

4.		

economy and expanding development should be supported,		Statement 2: The actions of any government, no matter how prosperous it is, must always respect the democratic rights of the people.		
Agree very strongly with	Agree with Statement 1	Agree with Statement 2	Agree very strongly with	
Statement 1 1 2			Statement 2	
		3	4	
Agree with neither [Do not read	[]		5	
Don't know [Do not read]			9	

5. In general, how do you rate your living conditions compared to the	nose of other Kenyans? [Read out options]
Much worse	1
Worse	2
Same	3
Better	4
Much better	5
Don't know [Do not read]	9

79.0 Looking at your life generally, would you say you are satisfied or dissatisfied with your freedom to choose what you do with your life? [Read out options. Only one option to be chosen].						
Very satisfied	4					
Fairly satisfied	3					
Not very satisfied	2					
Not at all satisfied	1					
Don't know [Do not read]	9					

79.1 Please tell me, is this house you currently occupy rented or it belongs to you?					
Rented/leased	1				
My own / Parent's house	2				
Gifted temporarily	3				
Hosted	4				
Refused to answer [Do not read]	98				

6.	6. Over the past year, how often, if ever, have you or anyone in your family: [Read out options]								
Never once or Several Many times know							Don't know [DNR]		
Α	Gone without enough food to eat?	0	1	2	3	4	9		
В	Gone without enough clean water for home use?	0	1	2	3	4	9		
С	Gone without medicines or medical treatment?	0	1	2	3	4	9		
D	Gone without enough fuel to cook your food?	0	1	2	3	4	9		
Е	Gone without a cash income?	0	1	2	3	4	9		

7. What is your main occupation? [If unemployed, retired or disabled, ask:] What was your last main occupation? [Do not read options. Code from responses.]						
Never had a job	0					
Student	1					
Housewife / homemaker	2					
Agriculture / farming / fishing / forestry	3					
Trader / hawker / vendor	4					
Retail / Shop	5					
Unskilled manual worker (e.g., cleaner, laborer, domestic help, unskilled manufacturing worker)	6					
Artisan or skilled manual worker (e.g., trades like electrician, mechanic, machinist or skilled manufacturing worker)	7					
Clerical or secretarial	8					
Supervisor / Foreman / Senior Manager	9					
Security services (police, army, private security)	10					
Mid-level professional (e.g., teacher, nurse, mid-level government officer)	11					
Upper-level professional (e.g., banker/finance, doctor, lawyer, engineer, accountant, professor, senior-level government officer)	12					
Other	95					
Don't know [Do not read]	99					

8. How often do you get news or general information from the following sources? [Read out options]								
	Every day  A few times a week  A few times a month  A few times a month  Less than once a month  Never [DNR]							
Α.	Radio	4	3	2	1	0	9	
В.	Television	4	3	2	1	0	9	
C.	Print newspapers	4	3	2	1	0	9	
D.	Internet	4	3	2	1	0	9	
E.	Social media such as Facebook, Twitter, WhatsApp or others	4	3	2	1	0	9	

Generally speaking, what would you consider as your main form of communication with fellow citizens on issues of public interest? [Read out options]					
Special media platforms such as commentaries, editorials etc.	5				
Personal conversations	4				
Community gatherings	3				
Through cell phones	2				
Through social media such as Whatsapp, Facebook, Twitter	1				
normally only communicate on private purposes	0				
Don't know [DNR]	9				

## THANK YOU VERY MUCH. YOUR ANSWERS HAVE BEEN VERY HELPFUL.

## END INTERVIEW -- DON'T FORGET TO COMPLETE NEXT SECTION

ALL SUBSEQUENT QUESTIONS SHOULD BE ANSWERED BY THE INTERVIEWER AFTER THE INTERVIEW IS CONCLUDED

	Hour	Minutes	
ENDTIME. Time interview ended [Interviewer: Enter hour and minute, use 24 hr. clock]			

Respondent's gender		
Male	1	
Female	2	

What was the roof of the respondent's home or shelter made of?				
Metal, aluminum, tin or zinc	1			
Tiles	2			
Shingles	3			
Thatch or grass	4			
Plastic sheets	5			
Asbestos	6			
Concrete/Cement	7			
Wood	8			
Some other material	9			
Could not tell / could not see	10			

Wha	at was the respondent's attitude toward you during the in	nterview		
Α	Was he or she	1	2	3
		Friendly	In between	Hostile
В	Was he or she	1	2	3
		Interested	In between	Bored
С	Was he or she	1	2	3
		Cooperative	In between	Uncooperative
D Wa	Was he or she	1	2	3
		Patient	In between	Impatient
E	Was he or she	1	2	3
		At ease	In between	Suspicious
F	Was he or she	1	2	3
		Honest	In between	Misleading

happen during the interview?	
No	0
Yes: [Explain]	
	1
INTERVIEWER SIGNATURE: I hereby certify that this interview was conducted during training. All responses recorded here are those of the respondent who method.	
	v? For example, did anything else significant
happen during the interview?	
happen during the interview?	in accordance with instructions given to in-

## **Appendix 2: Focus Discussion Guide and Questionnaire**

	Focus Group Discussion Guide and Questionnaire					
1.0	INTRODUCTION					
1.1	Da	te of the FGD				
1.2	Vei	nue				
1.3	Nu	mber of participants				
1.4	Fac	cilitator				
1.5	No	te taker				
1.6.	Init	tial Questions:				
	a) \	When did you move to Nairobi?				
	b) \	What is your occupation?				
	c) \	Where do you live?				
2.0	Urban Informality / Expectations					
	a) Do you think your area of Nairobi gets a fair amount of government services? If not, why do you think it does not? Is government service provision in urban areas different to rural areas?					
	b)	When the government decides who to provide a service to, what should inform their decision? What is a fair amount of government services that people like you should have access to?				
	c)	c) How has your time living in Nairobi shaped your expectations towards the government?				
	d)	d) To what extent has your occupation and your living conditions in Nairobi affected how you view the role of government and politicians?				
	e)	What can people living in informal settlements of Nairobi do to improve their situation? What would you do?				
	f)	f) What do people need to have to be able to meaningfully contribute to political decisions?				
3.0	Citizenship / Belonging					
	a) Have there been experiences since moving into Nairobi that have changed how you view your political role and chance of influencing politics? Which ones?					
	b)	b) Do you feel that you have the same rights as other Kenyans? If not, what barriers do you face?				
	c)	c) Would you consider Nairobi home? Why yes/not?				
	d)	d) Has your time spent in Nairobi influenced you trust towards others who live like you?				
	e) Which difference is more important in Kenya today: between rich and poor or between ethnic communities or between urban and rural areas?					